

**Schools Forum**

**16 December 2019**

**SPECIAL EDUCATIONAL NEEDS HIGH NEEDS BLOCK 2019/20**  
**NOVEMBER 2019 MONITORING REPORT**

**This report is for information**

**1. Recommendations:**

That Schools Forum members:

- 1.1 Note the contents of the report in relation to the 2019/20 HNB Grant budget monitoring for the period 1 April – 30 November 2019.
- 1.2 Note the data provided on the commissioned places and occupancy for special provisions as at November 2019.

**2. Purpose**

- 2.1 To provide schools forum with the HNB monitoring position as at 30 November 2019 projected to 31 March 2020.
- 2.2 To provide schools forum with the commissioned places and occupancy data in specialist provisions.

**3. HNB Budget 2019/20**

- 3.1 The HNB Current Grant for 2019/20 is £40.883m. The last figure reported in September 2019 was £40.698m. There has been an in-year adjustment of an additional grant of £0.185m
- 3.2 Table 1 shows the updated budget following the notification of the in-year grant adjustment, the anticipated outturn as at 31 March 2020 and the variance from the budget.
- 3.3 The anticipated in year deficit as at 30 November 2019 projected to 31 March 2020 is £1.549m.
- 3.4 The balance brought forward as at 1 April 2019 is £90K surplus.

- 3.5 The final deficit will be carried forward and funded from the additional HNB Grant announced recently. The request to expand in borough provision has been included in a separate report. However, the request for the expansion of provision is already having an impact on current year spending.

**Table 1 - HNB 2019/20 Budget Allocations**

<b>Budget Heading</b>	<b>Budget 2019/20 £000</b>	<b>Anticipated Outturn 31/3/20 £000</b>	<b>Variance from Budget £000</b>
1) Out of Borough Placements	4,209	4,694	485
2) Pupil Top Up and Place Funding	26,559	27,227	668
3) Post 16 Colleges	1,900	2,137	237
4) Hospital PRU	993	993	0
5) SEN Support Services	1,040	1,005	(35)
6) Support for Inclusion	2,739	2,697	(42)
7) Alternative Provision	1,400	2,092	692
8) SEN Developments	800	339	(461)
8) Other SEN Funding	1,184	1,184	0
10) Exclusions & Reintegration	59	64	5
<b>TOTAL</b>	<b>40,883</b>	<b>42,432</b>	
<b>HNB Grant</b>	<b>40,883</b>	<b>40,883</b>	
<b>(Surplus)/Deficit</b>	<b>0</b>	<b>1,549</b>	<b>1,549</b>

### 3.6 The main variances are as follows:

- Out of Borough Placements £485K overspend - There has been an increase in the number of pupils placed out of the borough into independent settings and other local authority maintained and academy schools following finalising the stage transfer process.
- Pupil top up and place funding £668K overspend – Maintained and Academy mainstream provision, Focus Provisions and Special Schools combined, show an overspend following new in year admissions, new assessments and an increase in the number of pupils staying on into post 16 placements in mainstream and special schools.
- Post 16 Further Educational Establishments overspend £237K – There has been an increase in the number of pupils going into colleges and specialist providers. Over 100 requests were not received until the end of November 2019 and we are still in the process of checking through the requests. Any adjustments will be reported in due course.
- Alternative Provision £692K overspend – the anticipated overspend is for pupils missing education, International new arrivals and hard to place pupils. The budget was increased to £1.4M in 2019/20 following a projection of the anticipated spend at the beginning of Spring Term 2019. However, it was found that the £1.4m was insufficient following the reconciliation of end of year payments as at 31 March 2019, which highlighted additional pupils whose details were not known at the time of the budget preparation. The last projection reported in September 2019 was £844K. This has been revised and reduced. There have been 3 Alternative Provision Panel's held since 1 September 2019 and the number of pupils allocated alternative provision places has been strictly controlled. International new arrivals in NCY 11 are still being considered for placement in an AP setting, and the estimated cost of these placements has been included in the prediction above.

- SEN Developments £461K underspend – This budget head currently funds staff salaries nearing the end of their contracts agreed by JEG in 2014/15, independent appeals and reports, and funding agreed that does not clearly fit onto any other budget head. It also holds an initial balancing figure of £193k which is difference between the calculated budgets as at 1 April 2019 and the HNB Grant initial settlement 2019/20 and the additional in year grant of £185K. This is used to offset any pressures in other areas within the HNB.
- Other small variances from budget equate to an underspend of £72K – This is mainly staffing changes.

#### 4. Other SEN Funding

4.1 Table 2 shows the breakdown of the other SEN funding of £1.184m

**Table 2 Other SEN Funding**

<b>Description</b>	<b>Budget 2019/20 £000</b>	<b>Anticipated Outturn 31/3/20 £000</b>
Central Recharges	508	508
SLAs with Health	87	87
Equal Pay Claim Special Schools	58	58
Transfer to CWD	96	96
Hospital Tuition	30	30
Mediation	30	30
Medical Malpractice Insurance	15	15
Non-Statutory SEN Support	360	360
<b>TOTAL</b>	<b>1,184</b>	<b>1,184</b>

## **5. Focus Provision and Special School Place Funding**

- 5.1 The aplaces for Focus Provisions and Special Schools against current occupancy as at December 2019.
- 5.2 The Focus Provisions average under occupancy as at December 2019 is 14%. There are 28 vacant places and 16 places currently reserved across all the provisions.
- 5.3 The occupancy across all 4 special schools is running over capacity and an additional 18 places will be funded in year to cover the increase in occupancy across the financial year and are included in the figures above.
- 5.4 As at December 2019 Primrose is full, Sandwell Community School has 21 vacancies and Albright is 5 places over occupancy.

## **6. Recommendations**

- 6.1 That Schools Forum note the contents of the report.

Date: 12/12/2019

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FOCUS PROVISION AVERAGE OCCUPANCY TABLE 2019/2020

		% April	% May	% June	% July	% August	% September	% October	% November	% December	% January	% February	% March	Places Purchased	Notes	Vacant Places @ December
Christ Church C.E. Primary	CCD	100%	88%	88%	88%	88%	88%	100%	100%	100%	100%	100%	100%	8		0
Crocketts Lane Primary	PD	92%	83%	83%	83%	83%	67%	67%	67%	75%	75%	75%	75%	12		3
Devonshire Infant Academy	CCD	100%	100%	100%	100%	100%	40%	40%	40%	40%	40%	40%	40%	5		3
Devonshire Junior Academy	CCD	120%	120%	120%	120%	120%	160%	160%	160%	160%	160%	160%	160%	5		-3
Ferndale Primary School	CCD	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	10		0
Galton Valley Primary	MLD	100%	100%	100%	100%	100%	80%	80%	80%	80%	80%	80%	80%	10		2
Grace Mary Primary	CCD	100%	100%	100%	100%	100%	63%	63%	69%	81%	81%	81%	81%	13	8 places were commissioned as at 1/4/19 and increase to 16 wef 1/9/19. The 13 Places is the pro rata effect to 31/3/20	3
Great Bridge Primary	CCD	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	9		0
Hargate Primary (HI)	HI	82%	82%	82%	82%	82%	71%	71%	71%	71%	71%	71%	71%	17		5
Hargate Primary (SEMH)	SEMH	20%	40%	40%	40%	40%	40%	60%	60%	60%	60%	60%	60%	5		2
Ocker Hill Academy	CCD	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	10		2
Springfield Primary	CCD	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	4	Provision is being ceased. No pupils will be admitted and places commissioned will be reduced to match occupancy. 4 Places from 01/04/2019	0
St Martin's CE Primary	SEMH	60%	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	5		1
Uplands Manor Primary	SLCN	75%	75%	75%	75%	75%	63%	63%	63%	63%	63%	63%	63%	8		3
Bristnall Hall High	CCD	100%	100%	100%	100%	100%	104%	104%	104%	104%	104%	104%	104%	25		-1
St Michaels C.E High (PD)	PD	95%	90%	90%	90%	90%	75%	75%	75%	75%	75%	75%	75%	20		5
St Michaels C.E High (CCD)	CCD	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	3		0
Wodensborough Ormiston Academy (HI)	HI	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	80%	5		1
Wodensborough Ormiston Academy (CCD)	CCD	85%	85%	85%	85%	85%	90%	90%	90%	90%	90%	90%	90%	20		2
<b>Total Occupancy</b>		<b>91%</b>	<b>90%</b>	<b>90%</b>	<b>90%</b>	<b>90%</b>	<b>83%</b>	<b>84%</b>	<b>84%</b>	<b>86%</b>	<b>86%</b>	<b>86%</b>	<b>86%</b>	<b>194</b>		<b>28</b>
<b>Total Vacancies</b>		<b>9%</b>	<b>10%</b>	<b>10%</b>	<b>10%</b>	<b>10%</b>	<b>17%</b>	<b>16%</b>	<b>16%</b>	<b>14%</b>	<b>14%</b>	<b>14%</b>	<b>14%</b>			

Academy Schools	
Occupied places is between	80% - 100%
Occupied places less than	80%
Occupied places over	100%

2019/2020  
SPECIAL PROVISION AVERAGE OCCUPANCY TABLE

All figures are representative as at the first of the month

	% April	% May	% June	% July	% August	% September	% October	% November	% December	% January	% February	% March	Places Available	Notes	Vacant Places @ December	% Vacant	Notes
Meadows	99%	100%	100%	101%	101%	107%	107%	107%	106%	106%	106%	106%	167		10 Places Over	0%	
Orchard	103%	103%	103%	103%	103%	103%	103%	103%	103%	103%	103%	103%	145		4 Places Over	0%	
Brades	92%	92%	92%	92%	92%	108%	108%	108%	108%	108%	108%	108%	37		3 Places Over	0%	
Shenstone	105%	107%	107%	109%	109%	107%	109%	109%	109%	109%	109%	109%	43		4 Places Over	0%	
Westminster	99%	99%	99%	99%	99%	105%	106%	106%	106%	106%	106%	106%	201		12 Places Over	0%	
Total Occupancy	100%	100%	100%	101%	101%	105%	106%	106%	106%	106%	106%	106%	593		0	0%	
Total Vacancies	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%					

Occupancy is between 90% & 100%  
Occupancy is less than 90%  
Occupancy is greater than 100%

Vacancies are less than 5%  
Vacancies are greater than 5%

2019/2020  
SPECIAL PROVISION AVERAGE VACANCY TABLE

	% April	% May	% June	% July	% August	% September	% October	% November	% December	% January	% February	% March	Places Available	Notes	Vacant Places @ December	% Vacant	Notes
Meadows	1%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	167		10 Places Over	0%	
Orchard	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	145		4 Places Over	0%	
Brades	8%	8%	8%	8%	8%	0%	0%	0%	0%	0%	0%	0%	37		3 Places Over	0%	
Shenstone	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	43		4 Places Over	0%	
Westminster	1%	1%	1%	1%	1%	0%	0%	0%	0%	0%	0%	0%	201		12 Places Over	0%	

Vacancies are less than 5%  
Vacancies are greater than 5%